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On March 9, 2005

TOWNSEND and TOWNSEND and CREW LLP<sup>1</sup>

By: Annette Masella

PATENT  
Attorney Docket No.: 16869N-031100US  
Client Reference No.: NT0419US

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Norio CHUJO, et al.

Application No.: 09/930,806

Filed: August 15, 2001

For: OPTICAL MODULES AND  
METHODS OF MAKING THE  
OPTICAL MODULES

Examiner: Stephone B. Allen

Art Unit: 2878

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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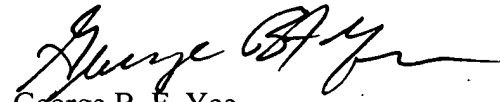
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [excluding cited U.S. Patents, U.S. Patent Application publications, and appropriate IFW-stored, pending U.S. Patent Applications and portions thereof, per 1287 OG 163] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
George B. F. Yee  
Reg. No. 37,478

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60438551 v1

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/930,806	
			Filing Date	August 15, 2005	
			First Named Inventor	Chujo, Norio	
			Art Unit	2878	
			Examiner Name	Stephone B. Allen	
Sheet	1	of	2	Attorney Docket Number	16869N-031000

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U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	US-			
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	AF	US-			
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AU	DE	197 40 957 A1		3/18/99	Zhi-Gong Wang et al.		<input type="checkbox"/>
	AV	CA	02220622		11/3/97	Aubin Roy et al.		<input checked="" type="checkbox"/>
	AW							<input type="checkbox"/>
	AX							<input type="checkbox"/>
	AY							<input type="checkbox"/>
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60438551 v1

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/930,806
				Filing Date	August 15, 2001
				First Named Inventor	Chujo, Norio
				Art Unit	2878
				Examiner Name	Stephone B. Allen
Sheet	2	of	2	Attorney Docket Number	16869N-03110008

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BC	BEHZAD RAZAVI, Design of High-Speed Circuits for Optical Communication Systems, IEEE 2001 Custom Integrated Circuits Conference, July 2001, 315-322, Electrical Engineering Department University of California, Los Angeles.	
	BD		
	BE		
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	BK		
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